



**Report on the “NISM Certification Training” at
Atharva Institute of Management Studies, Mumbai.**



Activity / Event Report

Name of Event	: NISM Certification Training
Date(s) of Conduction	: 04/04/2024 & 06/04/2024
Class / Semester	: MMS Batch 2023-2025 (All Specialization)
Number of Students participated:	126
Faculty coordinator	: Prof. Ketan Sutaria Dr. Monika Shrimali
Coordinator/committee	: Dr. Vaibhav Patil
Event Mentor/Guide	: Dr. D. Henry Babu (Director, AIMS)

NISM Certification:

The National Institute of Securities Markets (NISM) is a public trust established in 2006 by the Securities and Exchange Board of India (SEBI), the regulator of the securities markets in India. The institute carries out a wide range of capacity building activities at various levels aimed at enhancing the quality standards in securities markets.

- ❖ The NISM certification seeks to create a common minimum knowledge benchmark about mutual funds for all students who are future professionals.
- ❖ The NISM study material is a good source of information about securities markets.
- ❖ Adding NISM certification to student's profile can land them a good job in the securities industry.
- ❖ NISM certification is a basic requirement for various job roles in finance domain.

Certification Examination Name:

NISM-Series-V-A: Mutual Fund Distributors Certification Examination

NISM (Series-V-A) Certification Examination Objectives:

On successful completion of the Mutual Fund Distributors examination, the candidate should:

- ❖ Know the basics of mutual funds, their role and structure, different kinds of mutual fund schemes and their features
- ❖ Understand how mutual funds are distributed in the market-place, how schemes are to be evaluated, and how suitable products and services can be recommended to investors and prospective investors in the market.
- ❖ Get oriented to the legalities, accounting, valuation and taxation aspects underlying mutual funds and their distribution.
- ❖ Get acquainted with financial planning as an approach to investing in mutual funds, as an aid for mutual fund distributors to develop long term relationships with clients.

NISM Certification Training Hours and Cost:

- ❖ 30 Hours Classroom Training
- ❖ Cost of Training is Rs.5000 (approx.) Per student
- ❖ Training cost Paid by ICICI Bank Limited under CSR Project of Student Financial Literacy Campus Based Program

NISM Certification Examination Fees

- ❖ Rs.1500 /- per student for one attempt.
- ❖ Examination Fees Paid by ICICI Bank Limited under CSR Project

Examination Assessment Structure:

The Mutual Fund Distributors examination consists of 100 questions of 1 mark each and should be completed in 2 hours. The passing score for the examination is 50%. There shall be no negative marking.

NISM Exam Study Material

Study Material is available for Certification Exams free of cost in the form of

- ❖ PPT
- ❖ PDF - Ebook

Curriculum Series-V-A Certification Examination

- I. Investment landscape
- Ii. Concept and role of a mutual fund
- Iii. Legal structure of mutual funds in India
- Iv. Legal and regulatory framework
- V. Scheme related information
- Vi. Fund distribution and channel management practices
- Vii. Net asset value, total expense ratio and pricing of units
- Viii. Taxation
- Ix. Investor services
- X. Risk, return and performance of funds
- Xi. Mutual fund scheme performance
- Xii. Mutual fund scheme selection

CSR Project by	ICICI Bank Limited
Project Name	Student Financial Literacy Campus Based Program
Project Sector	Education
Implementing Partners	ICICI Foundation
Training Location	Atharva Institute of Management Studies
Eligibility for Training	All Students of Atharva Institute of Management Studies
Eligibility for Examination	Must Completed 22 Years
Exam Centre	As per exam slot availability across Mumbai

Project Description

Financial literacy is the first step to achieve financial inclusion, creating the need to seek and receive financial services and products. To increase awareness about organised banking viz. savings credit, remittance, insurance and investments, ICICI Foundation provides a Financial Literacy Programme. The programme are undertaken through financial literacy counsellors and faculties of ICICI Academy for Skills. The main objective of these programmes is to increase awareness about financial products, enhance the decision-making ability of beneficiaries regarding these financial products and to develop a sustainable financial system. This initiative reaches out to schools, colleges and various people from economically disadvantaged sections.

No. of MMS Students benefited from Atharva Institute of Management Studies

No. of Students attended the NISM V-A training
126

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